

New Zealand Real Estate Investment Trust 新西兰房地产投资信托

Required supporting documentation to accompany Company Application Form

Required supporting documentation to accompany this Application Form 本申请表所需支持文件	
1. Copy of Certificate of Incorporation 经过认证的公司注册证复印件	<input type="checkbox"/>
2. Copy of either: Certificate of Incumbency/Business Registration Certificate/Certificate of Good Standing/Companies Office Company Extract (whichever is applicable) 同样需要经过认证的复印件：董事在职证明书/商业登记证/良好身份证明/公司办公室摘录（如适用）	<input type="checkbox"/>
3. Copies of the company's register of shareholders and register of directors, signed by a director 经过认证的公司注册股东和注册董事，有董事签字的复印件	<input type="checkbox"/>
4. Copy of the company's group corporate structure, signed by a director 经过认证的公司集团结构，有董事签字的复印件	<input type="checkbox"/>
5. A copy of a Board Resolution approving the subscription for Units in the Fund, signed by a director 经过认证的由董事会同意批准单位基金，有董事签字的复印件	<input type="checkbox"/>
6. A list of directors and other Authorised Persons who may deal with the Manager of the Fund 可能与基金经理讨论的董事及其他授权人的列表	<input type="checkbox"/>
7. Certified true list of all the Ultimate Beneficial Owner(s) (UBOs) directly or indirectly having 10% or more of the beneficial ownership of the company 经核证无误的最终受益所有人直接或间接拥有10%或更多的公司实际拥有权的列表	<input type="checkbox"/>
8. Certified true copies of National ID Card or Passport of all directors, Authorised Persons and 10% or greater UBOs 经核证无误的所有董事，授权人以及拥有10%或更多的最终受益所有人的国民身份证或护照复印件	<input type="checkbox"/>
9. Certified true copy of the Proof of Address of all directors, Authorised Persons and 10% or greater UBOs, no older than 3 months 经核证无误的所有董事，授权人以及拥有10%或更多的最终受益所有人的地址证明复印件	<input type="checkbox"/>
10. Completed and signed Application Form 填好并签字的申请表格	<input type="checkbox"/>
11. Proof of source of funds documents including copy of latest Financial Statements 资金来源证明文件，包括最新财务报表的副本	<input type="checkbox"/>
12. Bank encoded deposit slip verifying your bank account name and number 银行编码的存款单，用于验证您的银行帐户名称和号码	<input type="checkbox"/>
13. A Copy of signed Eligible Investor Certificate (if applicable) 已签署的合格投资者证书副本（如适用）	<input type="checkbox"/>
14. Completed and signed Investment Criteria Certificate. 本申请表格必须附有填妥并签署的投资标准认证。	<input type="checkbox"/>
15. Completed Unit Subscription Agreement. 填好并签字的认购协议	<input type="checkbox"/>
<i>Additional supporting documents may be required. Applications may be rejected if the required supporting documents are not provided.</i> 可能需要额外的文件支持。如果不提供所需支持文件申请可能会被拒绝。	

Completed Application Form and Documents 完成申请表格和文件	
Please post this documentation to: 请邮寄此文件至以下地址:	Or, courier this documentation to: 或快递此文件至以下地址:
Uwin Capital Limited P O Box 302103 North Harbour, Auckland 0751	Uwin Capital Limited 5 William Pickering Drive Rosedale, Auckland 0632

New Zealand Real Estate Investment Trust 新西兰房地产投资信托

Company Application Form 申请表格

Initial Investment Amount 首次投资金额	
Amount in Words 大写金额	
Amount in Numbers 金额数目	
Subscription Amount to be paid by: 认购金额由 以下方式支付： •electronic transfer (bank account details at right) •cheque (made payable to “VCFO Group Limited Trust Account – NZREIT Offer”)	Bank: BNZ Address: 80 Queen Street Account Name: VCFO Group Limited Trust Account Account Number: 02-0108-0283161-001 Reference: [Your Name] NZREIT Offer

Initial Allocation Preferences 初始投资分配	
As explained in the IM, your money will be used by the Trustee to acquire investments in particular unit classes on your behalf. Please choose your preferred investment allocation by setting out the percentage of your money you wish to be allocated to each investment class listed below: 您的资金将由受托人用于代表您获得特定投资类别的投资。请通过列出您希望分配给下列每个投资类别的资金百分比来选择您的首选投资分配：	
NZREIT Fixed Interest Fund A Units 固定利息基金	%
NZREIT Growth Fund B Units 增长基金	%
Total 合计	100%

The administrator will contact you in due course with the deposit instructions

Corporate Information 公司信息	
Company Name 公司名称	
Contact Name 联系人	
Country of Incorporation 注册国家	
Business Registration Number 营业许可证	
Nature of Business 业务性质	
Registered Address 注册地址	
Country 国家	
Principal Office Address 办公地址	

Country 国家	
Contact Phone No. 联系电话	
Email 邮箱	
Ultimate Beneficial Owners of the Company 公司最终受益人	
1.	
2.	
3.	
4.	
5.	
Names of Company Directors 公司董事	
1.	
2.	
3.	
4.	
5.	

New Zealand Tax Information 新西兰税务信息	
Is the company an NZ tax resident (if no, state country and we will send you a tax residency self-certification form)? 公司是否是新西兰税务居民 (如果不是, 请填写所属税务国家) ?	
IRD Number 税号	
PIR (0%, 10.5%, 17.5%, 28%) 规定投资税率	
Are the Controlling Persons NZ tax resident? 控股人是否是新西兰税务居民 ?	
If no, we will send you a Controlling Person Guide and a tax residency self-certification form 如果不是, 我们将向您发送“控制人员指南”和税务居住自我认证表	

Bank Account Information 银行账号信息	
Bank Name 银行名称	
Branch Address 银行地址	
Country 国家	
Account Name 账号姓名	
Account Number 银行账号	
Swift Code 银行代码	
Relationship Manager name 经理姓名	
Relationship Manager email 经理邮箱地址	
Relationship Manager phone number 经理电话	

** Banking information is required for identification of source of subscriptions to the fund, paying distributions and redemption payments (No third party payments will be accepted or permitted)*

银行信息被基金的资源认证和订阅所需要，支付分配和赎回的源识别（不接受或允许第三方支付）

Financial Advisor/Distributor's Details 顾问信息	
Financial Advisor/Distribution Name 顾问姓名	
Relationship Manager's Name 经理姓名	
Relationship Manager's email 经理邮箱地址	

** Please write N/A in this field if a Distributor has NOT introduced this investment to you*

如果没有分销商向您介绍这种投资，请在此填写 N/A

Declaration (FATCA) - We hereby faithfully declare to the best of our ability: 非美国公民声明	YES/NO
All or any of our Directors are currently a U.S. Citizen(s) or resident(s) in the U.S. 我们全部或者其中董事是否美国居民或美国公民	
All or any of our Directors Place of birth were in the U.S. 我们全部或者其中董事是否出生在美国	
All or any of our Directors have a mailing or residential address (including a U.S. post office box) in the U.S. 我们全部或者其中董事是否在美国有居住地址	
All or any of our Directors currently have an "in-care-of" or "hold-mail" address in the U.S. 我们全部或者其中董事在美国是否有正在转交的产业	
All or any of our Directors have a contact telephone number in the U.S. 我们全部或者其中董事是否有美国的联系电话	
All or any of our Directors have a standing instruction to transfer funds to an account maintained in the U.S. 我们全部或者其中董事有权将基金转移美国账户	
All or any of our Directors currently have an effective power of attorney or signatory authority granted to a person with a U.S. address. 我们全部或者其中董事可被有效授权或者为其美国地址的客户签字认证	

**If you answer Yes to any of the above questions we will send you a separate FATCA questionnaire*

如您在上述问题中有任何一处答“是”，我们将给您提供另一份海外账户税收合规法案（FATCA）问卷调查

Size of Company 公司规模	Per latest financial statements 财务报表
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Annual Turnover 年营业额	
Net Tangible Assets 资产净值	
Authorised Share Capital 法定股本	
Issued Share Capital 发行股本	

Should the applicant **not wish to disclose** the above information, this Application Form must be accompanied by the attached completed and signed Investment Criteria Certificate.

如果申请人**不希望**透露上述信息，本申请表必须附有填妥并签署的投资标准认证。

Source of Funds 资金来源	Percentage (Complete All) 投资比例
Shareholders Capital 股东资本	
Corporate Debt 公司债务	
Corporate Profits and Capital Gains 企业利润和资本收益	
Retained Earnings 留存收益	
Reserves 预留	
Other 其他	

Please provide certified copies of the following documents: Copy of latest Financial Statements. Copies of documents verifying source of funds – for example, if proceeds from asset sale(s), please provide copy of sale & purchase agreement(s).

Investment Objectives for this Application 投资目标	Tick One 打钩
Speculation 投机	
Capital Growth 资本增长	
Capital Preservation 保本	

Investment Horizon for this Application 投资时间	Tick One 打钩
4 years 4年	
5 years 5年	
6 years 6年	
Over 6 years 6年以上	

Investment Track Record in: 投资标的	Years (Complete All) 年数 (请填写所有项目)
Residential Real Estate 住宅地产	
Commercial Real Estate 商业房地产	
Equities or Exchange Traded Funds 股票或指数股票型基金	

Mutual Funds or Unit Trusts 共同基金或单位信托	
Currencies 炒汇	
Bonds 债券	
Derivative 金融衍生产品	

Anti-Money Laundering and Countering Financing of Terrorism Act 2009
2009年反洗钱和反恐融资法案

This Application Form is issued as part of the latest available Investment Statement relating to the New Zealand Real Estate Investment Trust (the "Fund") managed by New Zealand Uwin Capital Limited (the "Manager").

本申请表是新西兰 Uwin 房地产投资有限公司（下称“经理”）管理的新西兰房地产投资信托（以下简称“基金”）最新的信息备忘录的一部分。

The Manager is required to comply with New Zealand's Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (AML/CFT Act) and similar AML laws of other countries.

经理必须遵守新西兰的《2009年反洗钱和打击资助恐怖主义法案》（简称AML/CFT法案）以及其他国家类似的反洗钱法律。

In order to comply with the anti-money laundering laws and regulations applicable to the Manager, and the Manager on behalf of the Fund, the Custodian, the Administrator, the Investment Manager, the Representative (if applicable), the Distributor (if applicable), the Compliance Agent (if applicable), and the Paying Agent (if applicable), together the "Parties" acting together or individually, you acknowledge that the Manager will not accept the transfer of your Subscription Amount until such time that the Parties involved are satisfied that evidence regarding the source of the Subscription Amount, your identity, and the payment instructions for redemptions, is satisfactory and at any given time the Parties may request new and/or additional evidence, information or other documentation deemed necessary by the Parties and you warrant to provide such information within reasonable time as defined by the Parties. Applications may be rejected if this information is incomplete or the wire confirmation does not match the information provided in this Application Form.

包括经理、代表本基金的经理、监护人、管理人、投资经理、代表（如适用）、分销商（如适用）、合规代理（如适用），及支付机构（如适用）等所组成的共同或单独行动的“各方”必须遵守反洗钱法律和各项相关规定，为此，您在此确认，在各方均对您的认购资金来源、您的身份，以及赎回付款指令表示满意之前，经理不会接受您的投资转帐。此外，在任何时候，各方均可要求提供其认为有必要的新的和/或补充证据、资料或其它文件，您将在各方认为合理的时间范围内提供所需信息。如果此信息不完整或电汇确认与本申请表格所提供的资料不符，此申请可能遭拒。

Provision of full verification evidence may, at the discretion of the Parties, be waived where Subscription Amount will be transferred from an account held in your name from a financial institution based in a country that is recognized as having an adequate anti-money laundering regime.

当认购资金来自一个已建立了公认有效反洗钱体制的国家的金融机构里您名下的帐户时，可在各方认可下，酌情免除提供全面核查证据。

We agree that no investment will be made unless and until the relevant Subscription Amount, net of fiscal and bank charges, have been received in cleared funds by or on behalf of the Manager.

我们同意，在经理或代表经理的清算基金收到已扣除财政费用和银行手续费的相关认购金额之前，不会做出任何投资。

We are committed to protecting the privacy of your information and we will continue to ensure all identity and other personal information you provide will be used and managed in accordance with the Privacy Act 1993.

我们郑重承诺保护您的隐私信息，我们将按照《1993年隐私法》来使用和管理您提供的所有身份及其它个人信息。

If you have any questions or concerns in respect of the requirements under the AML/CFT Act please feel free to contact us.
如您对AML/CFT法案的要求有任何问题或疑虑，请随时与我们联系。

By signing and returning this Application Form we hereby confirm that:

通过签署并提交本申请表，我们在此确认：

Corporate Investment Understanding Declaration 企业投资理解声明

1. We have read and understood the Terms and Conditions as set out in the Investment Memorandum and agree that these Terms and Conditions are binding and irrevocable.
我们已阅读并理解投资备忘录中所载条款和条件，并同意这都是具有约束力、不可撤销的条款和条件。
2. We have read and understood the Fees and Charges in the Investment Memorandum.
我们已阅读并理解投资备忘录里的各项收费。
3. The Redemption Terms contained in the Investment Memorandum have been fully explained to us and we agree to be charged accordingly, should they apply.
我们已得到有关投资备忘录赎回条款的详尽解释，我同意在适用时支付相应的费用。

Corporate Consent and Declaration 公司同意与声明

1. The information contained in this Application Form is true and accurate and deem such information and representations made here to be correct and accurate as of this date.
本申请表的信息真实准确，在签署当日在此提供的信息及其表达是正确和准确的。
2. Rockburgh Fund Services Limited is authorized to contact persons or companies for the purpose of verifying the information provided in this Application Form.
新西兰Rockburgh基金管理有限公司有权为核实本申请表的信息联系个人或公司。
3. We will inform Rockburgh Fund Services Limited promptly in the event that any information provided in this application ceases to be true and accurate.
此申请中提供的任何信息不再真实准确时，我们将迅速告知新西兰Rockburgh基金管理有限公司。
4. We consent to a Relationship Manager from Rockburgh Fund Services Limited periodically contacting us, via email or telephone, to perform their normal duties as an appointed administrator.
我们同意新西兰Rockburgh基金管理有限公司的客户经理定期以电子邮件或电话与我们联系，履行其作为指定管理人的正常职责。

Corporate Representations and Warranties 公司声明与保证

We hereby apply to subscribe for Units in the Fund upon the terms and conditions of the Investment Statement, Unit Trust Deed, and this Application Form (collectively referred to as the "Establishment Documents").

我们《信息备忘录》、《单位信托契约》，以及本申请表（上述文件统称为“基础文件”）的条款与条件，申请此基金的基金单位。

We hereby make the following representations, warranties and agreements to and for the benefit of the Parties and the directors of the Manager on behalf of the Fund:

为了各方及代表基金经理的董事的利益，我们在此作出以下陈述、保证及约定：

1. By signing this Application Form, we agree to be bound by the terms and conditions of the Establishment Documents.
签署此申请表，我们同意通过建立文件的条款和条件的约束。

2. We have received and reviewed a copy of the Investment Statement and have evaluated the risks and merits of the investment. We are making this application solely on the terms and provisions set forth in the Investment Statement and not in reliance on any representations of any kind or nature except as specifically set forth in the Investment Statement. We acknowledge that the Parties have full and sole discretion to accept or reject this Application Form without being required to explain any decision or action taken or not taken.
我们已收到并审查了资料备忘录的副本，并评估了投资的风险和优点。我们完全根据资料备忘录的条款和规定提出此申请，在资料备忘录的明确规定内容之外，并未依赖于任何种类或性质的任何陈述。我们承认各方有充分的和自行的决定权来接受或拒绝此申请，并且无需解释其作出或未作出的任何决定或行动。
3. We have knowledge, skill, or experience in financial and business matters and we are capable of evaluating the merits of, and are able to bear the economic risks of, my investments in the Fund. In regard to the economic, tax and legal considerations related to this investment, we have consulted with our own professional advisers.
我们有金融和商业的知识、技能或经验，我们能够评估此项基金投资的优点，并能承受其经济风险。我们已向自己的专业顾问咨询了与此项投资有关的经济、税务及相关法律。
4. The subscription for Units in the Fund is not in breach of any regulatory or legal requirements of the jurisdiction in which we are resident or which are applicable to us.
认购此基金单位并不违反我所居住地或适用于我们的任何法律法规。
5. We are in good standing under the laws of the jurisdiction in which we are currently resident and have the power and authority and legal capacity to enter into, and perform our obligations under this Application Form. The person(s) signing this Application Form have all requisite power and authority to sign and deliver such documents on our behalf. We have fully observed the legal requirements of all jurisdictions to which we are subject, including the obtaining of any government or other consents that may be required or the compliance with other necessary formalities.
根据我们作为居民的所在地的法律，我们享有良好的信誉，并有能力、权力和法定资格承担并履行本申请表带来的义务。签署本申请表的人拥有所有必要的能力和权力代表我签署和提交这些文件。我们已完全遵守我所属的司法管辖区的所有法律要求，包括获取任何可能需要的政府或其它方面的认可，或其它的合规手续。
6. We hereby declare that we are the ultimate beneficial owner of the Subscription Amount that will be invested in the Fund. In such case, we acknowledge that the Manager has the right to reject this Application Form or terminate our relationship should it subsequently be found that we have concealed the facts of beneficial ownership. We also undertake to keep you informed in writing of any changes to the above information in future and also undertake to provide any other additional information as may be required by the Manager.
我们在此声明，我们就是将要投入此基金的认购金额的最终受益人。我们确认，如果日后发现我们隐瞒了真实受益人的身份，经理有权拒绝接受本申请表格或终止我们的关系。我们同时承诺，一旦上述信息有任何变化，或经理需要任何补充信息，我们会以书面形式告知。
7. We furthermore declare that we are not involved in money laundering and/or drug trafficking and that any monies and/or securities that have been or will be deposited in any bank account do not originate, either directly or indirectly, from illegal and/or criminal activities.
我们进一步声明，我们没有参与洗钱和/或贩毒，任何已经或将要存入任何银行帐户的款项和/或证券都没有直接或间接来自非法或犯罪活动。

Director's Name
董事

Director's Name
董事

Director's Signature* 董事签字*	Director's Signature* 董事签字*
Date 日期	Date 日期

**This should be signed by two directors. If the company has only one director, the director must sign in front of a witness*

**必须有两位董事签字。如果公司只有一位董事，必须在见证人面前签字*

Print Witness Name 见证人
Signature of Witness 见证人签字
Date 日期

Investment Criteria Certificate 投资标准认证

The following warning is required to be provided under the Financial Markets Conduct Regulations 2014:
《2014 年金融市场行为规定》要求必须提供以下警告：

Warning 警告

New Zealand law normally requires people who offer financial products to give information to investors before they invest. This information is designed to help investors to make an informed decision.
新西兰法律通常要求金融产品提供者在投资者决定投资之前提供相关信息。此信息旨在帮助投资者作出明智的决定。

If you are a wholesale investor, the usual rules do not apply to offers of financial products made to you. As a result, you may not receive a complete and balanced set of information. You will also have fewer other legal protections for these investments. Investments of this kind are not suitable for retail investors.
如果您是一名高净值投资者，通常的规则不一定适用于给您的金融产品的报价。您可能不会收到完整和平衡的综合信息，对这些投资的法律保护也较少。这种投资并不适合散户投资者。

Ask questions, read all documents carefully and seek independent financial advice before committing yourself.
在作出决定前请提出问题、仔细阅读所有文件，并寻求独立金融建议。

Offence 违法行为

It is an offence to give a certificate knowing that it is false or misleading in a material particular. The offence has a penalty of a fine not exceeding NZ\$50,000.
明知一个证书是虚假的或具有事实误导性，却仍然提供这一证书，属于违法行为，将承担高达 NZ \$ 50,000 的罚款。

Certificate 认证

We, _____ hereby certify that we are a wholesale investor of the following kind (tick one of the following) 特此证明，我们是以下种类（打勾的下列之一）的高净值投资者：

Please refer to the following definitions in respect of the terms in bold. 请参考后文关于粗体术语的解释。

- ☐ Person is an **investment business** 此人为投资业务
- ☐ Person has in the last 2 years owned (or controlled) a portfolio of **specified financial products** of a value (in aggregate) of at least NZ\$1,000,000. 此人在过去的 2 年中已拥有（或控制）至少价值 NZ\$1,000,000（合计）的**指定金融产品组合**。
- ☐ Person has during the 2 years before this subscription carried out one or more transactions to acquire **specified financial products** where the amount payable under those transactions (in aggregate) is at least NZ\$1,000,000 and the other parties to the transactions are not associated persons. 在认购此基金之前的 2 年里，此人进行过一笔或多笔交易，所获**指定金融产品**的交易应付账（合计）超过 NZ\$1,000,000，并且参与交易的其它方面没有合伙人。
- ☐ Person is an individual who within the last 10 years has been employed or engaged in an **investment business** and has, for at least 2 years during that 10 year period, participated to a material extent in investment decisions made by the **investment business**. 此人作为个人在过去 10 年中受雇于或从事某**投资业务**，这 10 年中有至少 2 年参与了**投资业务**所作出的重大投资决策。
- ☐ Person is a large investor if the person and its controlled entities have as at the last day of each of the 2 most recently completed financial years net assets of or total consolidated turnover exceeding NZ\$5,000,000. . 如果此人及其控制的实体在最近结束的两个财政年度最后一天的净资产或综合总营业额超过 NZ\$5,000,000，则成为大型投资者。
- ☐ Person will invest a minimum of NZ\$750,000 in Units in the Fund. 此人在本基金的最小投资额为每笔 NZ\$75 万。
- ☐ Person is an **eligible investor**. 此人必须为合格投资者

The grounds on which we claim we are a wholesale investor under the relevant category identified above as are as follows:
根据以上相关类别我们宣布自己为此类高净值投资者的理由如下：

[include grounds]列出理由

We understand the consequences of certifying ourselves to be a wholesale investor.
我们理解证明自己为高净值投资者的后果

This certificate is valid for 2 years from its date.
此证书的有效期为从即日起的 2 年。

Defined terms 术语解释

A person is an **investment business** if the person is—— 如果此人符合以下定义则列为投资业务——

- (a) an entity whose principal business consists of 1 or more of the following:
作为一个主体，其主要业务涉及以下一个或多个领域：
 - (i) investing in financial products 投资金融产品; or 或
 - (ii) acting as an underwriter 作为承销商; or 或
 - (iii) providing a financial adviser service in relation to financial products 提供有关金融产品的理财顾问服务; or 或
 - (iv) providing a broking service in relation to financial products 提供有关金融产品的经济人服务; or 或
 - (v) trading in financial products on behalf of other persons 代表他人交易金融产品; or 或
- (b) a registered bank 注册银行; or 或
- (c) a Non-Bank Deposit Taker 非银行存款接受者; or 或
- (d) a licensed insurer 授权保险人; or 或
- (e) a manager of a registered scheme, or a discretionary investment management service, that holds a market services licence 某注册项目的经理，或持市场服务许可证的酌情投资管理服务提供者; or 或
- (f) a derivatives issuer that holds a market services licence 持有市场服务许可证的金融衍生产品发行者; or 或
- (g) a Qualifying Financial Entity or an Authorised Financial Adviser 合格的金融实体或授权理财顾问。

Specified financial products means financial products other than 指定的金融产品不包括下列内容——

- (a) category 2 products 2 类产品;
- (b) interests in a retirement scheme 退休计划的权益;
- (c) Financial products issued by an associated person 合伙人发行的金融产品。

Financial product means 金融产品指——

- (a) a debt security 债券; or 或
- (b) an equity security 股票; or 或
- (c) a managed investment product 投资管理产品; or 或
- (d) a derivative 衍生工具

Category 2 product means any of the following products 2 类产品指下列任意一项:

- (a) a bank term deposit 银行定存; or 或
- (b) a bonus bond 红利债券; or 或
- (c) a call building society share 可赎回建房合作社股票; or 或
- (d) a call credit union share 可赎回信用合作社股票; or 或
- (e) a call debt security 可赎回债券; or 或
- (f) a share in a co-operative company 公司股份; or 或
- (g) a unit in a cash or term portfolio investment entity (as defined in the regulations) 现金或限期组合投资实体（按照法规阐释）中的单位; or 或
- (h) a consumer credit contract 客户信用合约; or 或

- (i) a contract of insurance (other than an investment-linked contract of insurance) 保险合同（并非投资相关保险合同）; or 或
- (j) a life insurance policy issued before 1 January 2009 2009 年 1 月 1 日前签发的寿险保单; or 或
- (k) a Discretionary Investment Management Service facility, if the investment authority covers only category 2 products 全权委托投资管理服务机构, 如果投资机构只涉及 2 类产品; or 或
- (l) any other product specified by the regulations 由法规规定的其它产品; or 或
- (m) a renewal or variation of the terms or conditions of any existing category 2 product 任何现有 2 类产品的条款或条件的续期或变化。

Eligible Investor means a habitual investor in financial products like the Units in the Fund who is able to assess the merits and risk of such financial products and knows the consequences of electing to be an eligible investor and an authorised financial adviser, a chartered accountant, or a lawyer signs a written confirmation of the investor's certification.

合格投资者是指经常向类似本基金单元的金融产品投资的人, 并且能够评估这些金融产品的优点和风险, 知道成为合格投资者、授权理财顾问, 以及注册会计师的后果, 或者有律师签署了该投资人的书面认证。

Director's Name 董事	Director's Name 董事
Director's Signature* 董事签字*	Director's Signature 董事签字*
Date 日期	Date 日期

**This should be signed by two directors. If the company has only one director, the director must sign in front of a witness*

**必须有两位董事签字。如果公司只有一位董事, 必须在见证人面前签字*

Print Witness Name 见证人
Signature of Witness 见证人签字
Date 日期

Investor Identification 投资人身份证明	Directors and Ultimate beneficial Owners 董事及最终受益人
Identity verification 身份证明	<p>If you are over 18 years, we will need certified photocopies of acceptable forms of identification to verify your identity that will include:</p> <p>如果您年满 18 岁，我们需要经过认证的合适的身份证明复印件以验证您的身份，其中包括：</p> <ul style="list-style-type: none"> • current international travel passport; or 有效国际旅行护照；或 • national identity card (for example Hong Kong Identity card). 国民身份证（例如香港身份证）
Address verification 地址证明	<p>To verify your address, certified photocopies of acceptable original (not printed) documents that set out your name and current address and are dated within the previous three months include:</p> <p>为验证您的地址，请提供经过认证的注明您的姓名和现住址，并带有三个月以内日期的文件的复印件：</p> <ul style="list-style-type: none"> • power or home phone bill 电费或家庭电话费； • rates bill 地税单； • bank account statements 银行流水单； • IRD statements 税务局账单；or 或 • car registration documents 汽车登记文件。
Certification of documents 文件证明	<p>Please send certified photocopies of documents, not the originals. Your photocopies must be certified to verify your identity and your address by law. We will accept photocopied documents certified by someone who is over 16 years of age and is one of the following:</p> <p>请提供经过认证的文件复印件，而不是原件。您的复印件必须经过法律认证，以证明您的身份和地址。我们认可的文件复印件须由16岁以上，并从事以下工作之一的人认证：</p> <ul style="list-style-type: none"> • Commonwealth representative 英联邦代表； • A Police constable 警察； • Justice of the peace 太平绅士； • Registered medical doctor 注册医生； • Registered teacher 注册老师； • Minister of religion 牧师； • Lawyer 律师； • Notary public 公证人； • New Zealand Honorary consul 新西兰名誉领事； • Member of Parliament 议会成员；or 或 • Chartered Accountant 特许会计师。 <p>The person certifying your document must not be: related to you; for example, your parent, child, brother, sister, aunt, uncle or cousin; or your spouse or partner; or a person who lives at the same address as</p>

	<p>you.</p> <p>认证您的文件的人不得：是您的亲戚：例如，您的父母、子女、兄弟、姐妹、姑姨婶、叔伯舅、表亲或堂亲；或您的配偶或伴侣；或与您住在同一地址的人。</p> <p>If sufficient identification and address verification is not provided your Application Form will be rejected and your payment returned. Payments returned to investors will not be eligible to accrue any interest.</p> <p>如果不能提供足够的身份和地址证明文件，您的申请表格将被拒绝，付款也将被退回。退给投资者的资金不计算利息。</p>
<p><i>Certification of documents Overseas</i></p> <p>海外文件证明</p>	<p>When certification occurs overseas, copies of International identification provided by you must be certified by a person authorised by law in that country to take statutory declarations or equivalent in the customers country. Documents that are not in English must be supported by an independent and certified English translation.</p> <p>如果认证工作在海外完成，那么您所提供的国际身份认证文件的复印件必须由该国拥有法律授权认证资格人士认证。非英文文件必须同时提供独立认证的英文翻译件</p>